



Stacy V. Pollock

Final Analysis
Legislative Service Commission

Am. Sub. H.B. 375
126th General Assembly
(As Passed by the General Assembly)

Reps. Flowers, J. McGregor, D. Evans, Brown, Willamowski, Allen, Setzer, T. Patton, D. Stewart, Blessing, Cassell, Collier, Combs, Domenick, Fende, Hagan, Hughes, Mitchell, Otterman, Reidelbach, G. Smith, Widener, Williams, Wolpert, Yuko

Sens. Cates, Kearney, Fedor

Effective date: *

ACT SUMMARY

- Permits any person to submit to the State Board of Emergency Medical Services (EMS Board) a written complaint regarding an alleged violation of the Emergency Medical Services (EMS) Law, and requires the EMS Board to investigate those allegations.
- Prescribes the EMS Board's powers in investigating allegations.
- Provides, with an exception, that all information the EMS Board receives pursuant to an investigation is confidential, and not subject to discovery in any civil action, during the course of the investigation and any resulting adjudication proceedings.
- Requires the EMS Board to conduct disciplinary proceedings regarding the holder of any certificate it issues in accordance with its rules.
- Specifies that the EMS Board and a certificate holder are the parties to a hearing conducted under the EMS Law and that either party may submit a written request to the other party for a list of witnesses and copies of documents intended to be introduced at the hearing.

** The Legislative Service Commission had not received formal notification of the effective date at the time this analysis was prepared. Additionally, the analysis may not reflect action taken by the Governor.*

- Provides for summary and automatic suspensions of certificates to practice as a first responder, emergency medical technician-basic, emergency medical technician-intermediate, or emergency medical technician-paramedic.
- Authorizes the EMS Board to adopt rules specifying circumstances under which an emergency medical services training or continuing education program, or portion of such a program, may be taught by a person who does not hold a certificate to teach.
- Eliminates the requirement that the prehospital emergency medical services regions consist of the same geographic regions as the state's health services areas.
- Changes definitions and air ambulance staffing requirements contained in the Ohio Medical Transportation Board Law.
- Changes the residency requirements for certain members of the board of governors of a municipal hospital.

TABLE OF CONTENTS

State Board of Emergency Medical Services Law.....	2
Background.....	2
Investigations of alleged violations of the Emergency Medical Services Law....	3
Disciplinary proceedings	5
Teachers of EMS training and continuing education programs	8
Prehospital emergency medical services regions	9
Revisions to the Ohio Medical Transportation Board Law.....	9
Changes in definitions	9
Rules to operate without a permit	11
Required staffing of air ambulances	11
Membership of the board of governors of a municipal hospital.....	12

CONTENT AND OPERATION

State Board of Emergency Medical Services Law

Background

The State Board of Emergency Medical Services (EMS Board) regulates the training and practice of emergency medical service personnel. It issues

certificates to practice to first responders, emergency medical technicians-basic (EMTs-basic), emergency medical technicians-intermediate (EMTs-I), and emergency medical technicians-paramedic (paramedics). The EMS Board also issues certificates of accreditation or approval to EMS training and continuing education programs and certificates to teach to training and continuing education program teachers.

Investigations of alleged violations of the Emergency Medical Services Law

The EMS Board is authorized by continuing law to investigate complaints concerning emergency medical services and EMS organizations as it determines necessary.¹

Submitting complaints (R.C. 4765.101(A)). The act permits any person to submit to the EMS Board a written complaint regarding an alleged violation of state law governing emergency medical services (R.C. Chapter 4765. and rules adopted under that chapter). In the absence of fraud or bad faith, no person submitting a complaint or testifying in an adjudication hearing with regard to such an alleged violation is liable to any person in damages in a civil action as a result of submitting the complaint or providing the testimony. The EMS Board is *required to investigate* any alleged violation of the Emergency Medical Services (EMS) Law.

EMS Board's investigative powers (R.C. 4765.101(B), (C), and (D)). In investigating an allegation, the EMS Board is permitted to administer oaths, order the taking of depositions, issue subpoenas, and compel the attendance of witnesses and production of books, accounts, papers, records, documents, and testimony. However, a *subpoena for patient record information* cannot be issued without consultation with the Attorney General's office and the approval of the EMS Board's executive director. Before such a subpoena is issued, the executive director must determine whether there is probable cause to believe that the complaint alleges a violation of the EMS Law and that the records sought are relevant to the alleged violation and material to the investigation. The subpoena may apply only to records that cover a reasonable period of time surrounding the alleged violation.

The act provides that, if a person fails to comply with a subpoena the EMS Board issues, the Board is permitted to move for an order compelling the production of persons or records under the Civil Rules. First, however, the EMS Board must provide the person subpoenaed a reasonable notice.

¹ R.C. 4765.10(B)(1)--not in the act.

Serving subpoenas (R.C. 4765.101(E)). Sheriffs, sheriffs' deputies, and investigators for the Division of Emergency Medical Services of the Department of Public Safety are authorized by the act to serve subpoenas the EMS Board issues. Service may be made by delivering a copy of a subpoena to the person named in it, reading it to that person, or leaving it at that person's usual place of residence. If the person being served is an individual authorized by the EMS Law to practice emergency medical services, service may be made by certified mail, restricted delivery, return receipt requested. In such a case, the subpoena is deemed served on the date delivery is made or on the date that the individual refuses to accept delivery.

Confidentiality of investigative information (R.C. 4765.102 and 4765.11(A)(23)). The act provides, with an exception (see next paragraph), that all information the EMS Board receives pursuant to an investigation, including information regarding an alleged violation of the EMS Law or a complaint alleging such a violation, is *confidential*, and *not subject to discovery* in any civil action, during the course of the investigation and any adjudication proceedings that result from the investigation. Upon completion of the investigation and any resulting adjudication proceedings, the information is a matter of public record for purposes of the Public Records Law.

The exception to the confidentiality/discovery rule is that the EMS Board may release the information to law enforcement officers or licensing agencies of this or another state that are prosecuting, adjudicating, or investigating the holder of a certificate issued by the EMS Board or a person who allegedly engaged in the unauthorized provision of emergency medical services.² A law enforcement officer or licensing agency that receives such information is prohibited from divulging it other than for the purpose of an adjudication by a court or licensing agency to which the subject of the adjudication is a party.

If an EMS Board investigation requires a review of *patient records*, the investigation and proceedings related to it must be conducted in a manner that protects patient confidentiality. The EMS Board is prohibited from making public the name or any other identifying information about a patient unless proper consent is given in accordance with rules the act requires the EMS Board to adopt.

² *The act defines a "licensing agency" as an entity that has authority under Ohio law governing occupational licensure to issue a license, and any other agency of this or another state, other than the Ohio Supreme Court, that has authority to issue a license authorizing an individual to engage in an occupation or profession. "Licensing agency" includes an administrative officer authorized to issue a license authorizing an individual to engage in an occupation or profession. (R.C. 4765.102(A).)*

If the patient is less than age 18, the EMS Board must obtain consent from the patient's parent, guardian, or custodian.

Disciplinary proceedings

Continuing law: in general. Continuing law requires the EMS Board to *adopt rules* establishing (1) procedures for suspending or revoking certificates of accreditation or approval issued to EMS training and continuing education programs, certificates to teach in EMS training and continuing education programs, and certificates to practice as a first responder, EMT-basic, EMT-I, or paramedic, (2) grounds for suspending or revoking certificates to practice and taking other disciplinary action against first responders, EMTs-basic, EMTs-I, and paramedics, and (3) procedures for taking disciplinary action against first responders, EMTs-basic, EMTs-I, and paramedics.³ The grounds for suspending or revoking a certificate of accreditation or approval issued to an EMS training or continuing education program are specified in continuing law. For example, the EMS Board may suspend or revoke such a certificate if the certificate holder violates the EMS Law or fails to maintain its premises and equipment used in conducting a course of study in a safe and sanitary condition.⁴ Continuing law authorizes the EMS Board to suspend or revoke certificates to teach and certificates to practice, and to take other disciplinary action against first responders, EMTs-basic, EMTs-I, and paramedics, pursuant to the Board's rules.⁵

Hearings (R.C. 4765.111). The act generally requires the EMS Board to conduct disciplinary proceedings regarding the holder of *any certificate* it issues under the EMS Law in accordance with its rules. The act specifies that the EMS Board and the certificate holder are the parties to a hearing conducted under that Law, and that either party may submit a written request to the other party for a list of witnesses and copies of documents intended to be introduced at the hearing. The request must be in writing and must be served not less than *37 days before the hearing* begins, unless the hearing officer or presiding member of the EMS Board grants an extension of time to make the request. The responding party is required, not later than *30 days before the hearing*, to provide the requested list of witnesses or copies of documents to the requesting party unless an extension of time for

³ R.C. 4765.11(A)(6), (7), and (8).

⁴ R.C. 4765.18--not in the act.

⁵ R.C. 4765.23 and 4765.33--not in the act.

making the request is granted.⁶ If the responding party fails to timely provide the requested list or copies, the witnesses (including their testimony) or documents must be excluded from the hearing.

Summary suspension (R.C. 4765.112, 4765.113, and 4765.115(C)). The EMS Board is authorized by the act to suspend a *certificate to practice* as a first responder, EMT-basic, EMT-I, or paramedic without a prior hearing (i.e., issue a summary suspension) if it determines by a majority vote that there is clear and convincing evidence that the certificate holder's continued practice presents a danger of immediate and serious harm to the public and that the certificate holder has done any of the following:

- (1) Furnished false, fraudulent, or misleading information to the EMS Board;
- (2) Engaged in activities that exceed those permitted by the individual's certificate;
- (3) Been convicted of, pleaded guilty to, or been the subject of a judicial finding of guilt of, a judicial finding of guilt resulting from a plea of no contest to, or a judicial finding of eligibility for intervention in lieu of conviction for, a felony or for a misdemeanor committed in the course of practice or involving gross immorality or moral turpitude.⁷

Immediately following a summary suspension, the EMS Board must issue a written order of suspension, cause it to be delivered to the certificate holder, and notify the certificate holder of the opportunity for a post-suspension hearing. The EMS Board is required to take these actions in accordance with the Administrative Procedure Act (R.C. Chapter 119.). If the certificate holder makes a timely request for a hearing, a hearing must be conducted in accordance with the Administrative Procedure Act.⁸

⁶ *The act does not specify how much time the responding party has to provide a list of witnesses or copies of documents if an extension of time for requesting the information is granted.*

⁷ *The conviction, plea, or judicial finding may be in any state or federal court.*

⁸ *The act does not specify the time frame for requesting a hearing on a summary suspension. The Administrative Procedure Act provides for a 30-day time frame starting from the date that the notice of a right to a hearing is mailed (R.C. 119.07--not in the act).*

If a certificate to practice is summarily suspended due to a felony or a misdemeanor committed in the course of practice or involving gross immorality or moral turpitude and if the conviction, plea, or judicial finding is *overturned* on appeal, the certificate holder is permitted, on exhaustion of the criminal appeal process, to file with the EMS Board a *petition for reconsideration* of the suspension. Appropriate court documents must accompany the petition. On receipt of the petition and documents, the EMS Board is required to reinstate the certificate to practice.

Automatic suspension (R.C. 4765.114 and 4765.115(C)). The act provides that a *certificate to practice* as a first responder, EMT-basic, EMT-I, or paramedic is automatically suspended on the certificate holder's conviction of, plea of guilty to, or judicial finding of guilt of aggravated murder, murder, voluntary manslaughter, felonious assault, kidnapping, rape, sexual battery, gross sexual imposition, aggravated arson, aggravated burglary, aggravated robbery, or a substantially equivalent offense committed in this or another jurisdiction. Continued practice after automatic suspension is considered practicing without a certificate to practice.

The EMS Board is required, if it has knowledge that an automatic suspension has occurred, to notify the certificate holder of the suspension and of the opportunity for a hearing. If the certificate holder makes a timely request for a hearing, the hearing is to be conducted in accordance with the Administrative Procedure Act.⁹

Duration of summary and automatic suspensions (R.C. 4765.115(A) and (B)). A summary or automatic suspension remains in effect until the EMS Board reverses the suspension or a final adjudication order issued by the EMS Board goes into effect. Neither a summary suspension order nor an automatic suspension is subject to suspension by a court before the EMS Board conducts a hearing on the matter. A court also may not suspend either type of suspension during the pendency of an appeal under the Administrative Procedure Act.

Hearing and adjudication order (R.C. 4765.115(D), (E), (F), and (G)). The act generally requires a *hearing* on a summary or automatic suspension to be held *not later than 45 days* or *earlier than 40 days* after being requested by the certificate holder. However, the certificate holder and EMS Board may agree to another date for the hearing. The EMS Board is required to issue its *final*

⁹ *As is the case for summary suspensions, the act does not specify the time frame for requesting a hearing on an automatic suspension. The Administrative Procedure Act provides for a 30-day time frame starting from the date that the notice of a right to a hearing is mailed (R.C. 119.07--not in the act).*

adjudication order not later than 45 days after completing the hearing. If the order is not issued by that time, a suspension order is void. Failure to issue the order within that required time does not affect any final adjudication order, however.

The EMS Board is permitted to issue a final adjudication order that suspends or revokes a certificate to practice, issues a written reprimand, or refuses to renew or places a limitation on a certificate to practice. An affirmative vote of a majority of the EMS Board is necessary for the issuance of such a final adjudication order. An order suspending an individual's ability to practice must be accompanied by a written statement of the conditions under which the certificate to practice may be reinstated, and that reinstatement requires an affirmative vote by a majority of the EMS Board.

If the EMS Board revokes or refuses to reinstate a certificate to practice in a final adjudication order, it may specify that its action is permanent. A permanent revocation or reinstatement refusal means that the individual is forever barred (ineligible) from holding the type of certificate to practice previously held. And, the EMS Board is prohibited from accepting an application for reinstatement or a new certificate from that individual.

Failure to request a hearing (R.C. 4765.116). If a certificate holder fails to make a timely request for a hearing on a *summary suspension*, the EMS Board is permitted to adopt a final adjudication order without holding a hearing. The order may suspend or revoke the certificate to practice, issue a written reprimand, or refuse to renew or place a limitation on the certificate to practice. If a certificate holder fails to make a timely request for a hearing on an *automatic suspension*, the EMS Board is permitted to adopt a final order permanently revoking the certificate to practice.¹⁰

An affirmative vote by a majority of the EMS Board's members is required for either action.

Teachers of EMS training and continuing education programs

(R.C. 4765.11(A)(24) and 4765.16(A))

A person who seeks a certificate to practice as a first responder, EMT-basic, EMT-I, or paramedic must complete an emergency medical services training program. With certain exceptions, a first responder, EMT-basic, EMT-I,

¹⁰ Unlike the case of a *summary suspension*, the act does not specify that the EMS Board may adopt a final adjudication order regarding an *automatic suspension* without holding a hearing.

and paramedic must satisfy continuing education requirements to have his or her certificate to practice renewed.¹¹

Former law required that each course offered through an emergency medical services training or continuing education program had to be taught by a person who holds the appropriate certificate to teach. The act generally continues that requirement, but authorizes the EMS Board to adopt rules specifying circumstances under which a training or continuing education program, or portion of such a program, may be taught by a person who does not hold a certificate to teach.

Prehospital emergency medical services regions

(R.C. 4765.05)

The EMS Board is required to divide the state into prehospital emergency medical services regions for the purpose of overseeing the delivery of adult and pediatric prehospital emergency medical services.¹² Former law required that the regions consist of the same geographic regions as the health services areas designated by the Director of Health for health services planning.

The act eliminates the requirement that the prehospital emergency medical services regions consist of the same geographic regions as the health services areas. But, it also provides that the EMS Board's mandate to divide the state *geographically* into prehospital emergency medical services regions must not be construed to limit in any way the ability of a hospital to determine its market area.

Revisions to the Ohio Medical Transportation Board Law

Changes in definitions

(R.C. 4766.01(B), (C), (J), and (S))

Covered entities and transportation. Former law defined an "air medical service organization" as a person that provides air medical transportation to the

¹¹ *The EMS Board may grant an exemption from all or part of the continuing education requirements for the following reasons: active military service, unusual circumstances, emergencies, special hardships, and other causes the Board considers reasonable (R.C. 4765.31(B)(2)-not in the act).*

¹² *Continuing law defines "prehospital emergency medical services" as an emergency medical services system that provides medical services to patients who require immediate assistance, because of illness or injury, prior to their arrival at an emergency medical facility (R.C. 4765.05(A)).*

public and "air medical transportation" as the use of a rotorcraft air ambulance (see below) or fixed wing air ambulance (see below) to provide transportation and advanced life support to seriously ill, injured, wounded, or otherwise incapacitated or helpless individuals who require use of a stretcher from airport to airport or from an emergency scene to a hospital or other medical care setting.¹³ These definitions were applicable throughout R.C. Chapter 4766.'s provisions governing the Ohio Medical Transportation Board and its issuance of various types of licenses and permits, including licenses for air medical service organizations and permits for those organizations' rotorcraft air ambulances and fixed wing air ambulances.¹⁴

The act redefines "air medical transportation" for purposes of those provisions to mean the transporting of a patient by rotorcraft air ambulance or fixed wing air ambulance with appropriately licensed and certified medical personnel (see below), and it redefines an "air medical service organization" as an organization that furnishes, conducts, maintains, advertises, promotes, or otherwise engages in providing medical services with a rotorcraft air ambulance or fixed wing air ambulance.

Air ambulance types. Former law defined a "fixed wing air ambulance" for purposes of R.C. Chapter 4766.'s provisions as a fixed wing aircraft operated as a means of air medical transportation. The act redefines such an ambulance for those purposes to mean a fixed wing aircraft *that is specifically designed, constructed, or modified and equipped and is intended to be used* as a means of air medical transportation.

¹³ A "person" is defined in R.C. 1.59 (not in the act) for purposes of the entire Revised Code as an individual, corporation, business trust, estate, trust, partnership, or association.

¹⁴ The Ohio Medical Transportation Board also issues licenses or permits for ambulances or nontransport vehicles of certain EMS organizations; for EMS organizations as a basic life-support, intermediate life-support, advanced life-support, or mobile intensive care unit organization; for ambulette vehicles of a nonemergency medical service organization; and for the operation of an ambulette service by the latter type of organization.

The act modifies one aspect of an air medical service organization's application for a license to provide air medical transportation when the organization uses fixed wing air ambulances or rotorcraft air ambulances--instead of "aircraft Hobbs meter hour reading," the application must include "aircraft hours on airframe" (R.C. 4766.04(E)(5) and (6)).

Former law defined a "rotorcraft air ambulance" for purposes of R.C. Chapter 4766.'s provisions as a helicopter or another aircraft capable of vertical takeoffs, vertical landings, and hovering. The act redefines this type of ambulance for those purposes to mean a helicopter or another aircraft capable of vertical takeoffs, vertical landings, and hovering *that is specifically designed, constructed, or modified and equipped and is intended to be used as a means of air medical transportation.*¹⁵

Rules to operate without a permit

(R.C. 4766.07(A))

The act authorizes the Ohio Medical Transportation Board to adopt rules in accordance with the Administrative Procedure Act to authorize the temporary use of a vehicle or aircraft for which a permit is not possessed for *back-up or disaster* situations. Otherwise, under continuing law, an air medical service organization, an EMS organization, or non-EMS organization that the Board licenses must possess a valid permit for each ambulance, ambulette, rotorcraft air ambulance, fixed wing air ambulance, or nontransport vehicle the organization owns or leases and uses to perform services covered by its license.

Required staffing of air ambulances

(R.C. 4766.17)

Former law required a licensed air medical service organization to do both of the following:

- Use at least both of the following to provide advanced life support to seriously ill, injured, wounded, or otherwise incapacitated or helpless individuals who require the use of a stretcher: (a) a *paramedic* or registered nurse and (b) one other person, designated by the organization's medical director, who holds a current, valid certificate or license to practice a health care profession in Ohio.
- Employ as a medical director an individual who holds a current, valid certificate issued under the State Medical Board Law authorizing the practice of medicine and surgery or osteopathic medicine and surgery in Ohio.

¹⁵ *The act relocates, without substantive change, the current definition of a "mobile intensive care unit" for purposes of R.C. Chapter 4766. (R.C. 4766.01(O) and (P)).*

The act instead requires a licensed air medical service organization *that uses a rotorcraft or fixed wing air ambulance* to do both of the following:

- Use at least a *physician* who holds a current valid license issued under the State Medical Board Law or registered nurse who holds a current valid license under the Nursing Board Law, and a paramedic or one other person, designated by the organization's medical director, who holds a current, valid certificate or license to practice a health care profession in Ohio.
- Employ as a medical director an individual who holds a current, valid certificate issued under the State Medical Board Law authorizing the practice of medicine and surgery or osteopathic medicine and surgery.

The act also repeals former law's related provision that made a licensed air medical service organization's medical director ultimately responsible for the medical care the program provided to each patient.

Membership of the board of governors of a municipal hospital

(R.C. 513.10 and 749.18)

Under continuing law, an agreement may provide for the participation of a joint township hospital district, or of a county, in the maintenance and operation of a municipal hospital. Formerly, when the board of governors of that municipal hospital included in its membership representatives of a participating district or of a participating county in accordance with that agreement, those representatives had to be electors of the joint township hospital district or of *the county*, as applicable. Under the act, those representative members must be electors of the participating joint township hospital district (continuing law) or electors of the participating county or *an adjacent county*.¹⁶

Former law also required that the *municipal members* of the board of governors of a municipal hospital had to include the mayor and four resident freeholders of the municipal corporation. The act continues that requirement as a general rule but provides that if necessary to secure qualified individuals to serve on the board of governors, the municipal members of the board may be residents of the county in which the municipal corporation is located or of an adjacent county.

¹⁶ *The representatives of a district are appointed by the joint township district hospital board, and the representatives of a county are appointed by its board of county commissioners.*

COMMENT

The Ohio Medical Transportation Board Law *does not apply* to various public or private entities, including, among others, the following (R.C. 4766.09--not in the act):

(1) Any person operating an ambulance, ambulette, *rotorcraft air ambulance, or fixed wing air ambulance* outside this state unless receiving a person within this state for transport to a location within this state;

(2) A *publicly owned or operated emergency medical service organization* and the vehicles it owns or leases and operates, subject to certain potential exceptions;

(3) An ambulance, ambulette, *rotorcraft air ambulance, fixed wing air ambulance*, or nontransport vehicle owned or leased and operated by the *federal government*;

(4) A *publicly owned* and operated fire department vehicle;

(5) An ambulance, nontransport vehicle, or other emergency medical service organization vehicle *owned and operated by a municipal corporation*;

(6) A motor vehicle titled in the name of a volunteer rescue service organization;

(7) A *public* emergency medical service organization;

(8) A fire department, rescue squad, or life squad comprised of volunteers who provide services without expectation of remuneration and do not receive payment for services other than reimbursement for expenses;

(9) A private, nonprofit emergency medical service organization when 50% or more of its personnel are volunteers;

(10) Emergency medical service personnel who are regulated by the State Board of Emergency Medical Services under R.C. Chapter 4765.

HISTORY

ACTION	DATE
Introduced	10-11-05
Reported, H. State Gov't	02-07-06



Passed House (93-0)	03-07-06
Reported, S. State & Local Gov't & Veterans Affairs	05-18-06
Passed Senate (33-0)	05-24-06
House concurred in Senate amendments (90-0)	09-12-06

06-hb375-126.doc/kl

